

ULSTER COUNTY TRANSPORTATION COUNCIL

AMENDMENT TO THE UCTC FEDERAL FISCAL YEAR (FFY) 2014-2018  
TRANSPORTATION IMPROVEMENT PROGRAM (TIP) TO REVISE TABLE 1: TIP  
AMENDMENT AND MODIFICATION PROCEDURES TO CLARIFY THE ROLLOVER CLAUSE.

**WHEREAS**, Federal rules and regulations require that a Transportation Improvement Program (TIP) be developed and adopted by the designated Metropolitan Planning Organization; and

**WHEREAS**, the Ulster County Transportation Council (UCTC) has been officially designated as the Metropolitan Planning Organization for Ulster County and is authorized to amend the Transportation Improvement Program as needed to add or delete projects and modify costs, schedules and funding for federally funded projects; and

**WHEREAS**, the Poughkeepsie-Dutchess Transportation Council (PDCTC), Orange County Transportation Council (OCTC), and the Ulster County Transportation Council (UCTC) have been designated by the Governor of the State of New York as the Metropolitan Planning Organizations (MPO) responsible, together with the State, for the comprehensive, continuing, and cooperative transportation planning processes for their respective counties; and,

**WHEREAS**, Federal rules and regulations require that the urban transportation planning process include the cooperative development of a Transportation Improvement Program (TIP), consisting of a staged multi-year program of projects consistent with the long range transportation plan; and

**WHEREAS**, changes to the UCTC TIP will occur at least once every two years during the TIP update process and may also be made periodically between TIP update cycles; and

**WHEREAS**, the TIP amendment approval process is described in Table 1 of the TIP (as shown in Attachment 1) to help clarify how and by whom changes are made; and

**WHEREAS**, a Rollover Clause in the MPO's TIP approval resolution enables the MPO to move projects from the 'Committed' (or 'obligated') column of their TIP to one of the first four years of the TIP via an administrative action rather than by a formal TIP amendment, provided that fiscal constraint is maintained; and

**WHEREAS**, the UCTC, in cooperation with the New York State Department of Transportation (NYSDOT), has reviewed and documented compliance of its planning process with adopted *UCTC Operating Procedures*, UCTC public notice procedures, and all existing federal rules and regulations, including *The Moving Ahead for Progress in the 21st Century Act* (MAP-21) requirements.



**DRAFT RESOLUTION 2014-06**

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**ULSTER COUNTY TRANSPORTATION COUNCIL**

**NOW, THEREFORE BE IT RESOLVED**, that the Ulster County Transportation Council revises the Table 1: UCTC TIP Amendment and Modification Procedures of the UCTC FFY 2014 – 2018 TIP as shown in Attachment 1 to clarify the use of rollovers in the TIP modification process.

**RESOLVED**, that the Director of the Ulster County Transportation Council authorizes the Secretary to transmit the TIP Amendment to the New York State Department of Transportation (NYSDOT), Federal Transit Administration (FTA) and Federal Highway Administration (FHWA) for STIP approval.

**CERTIFICATE**, the undersigned, duly qualified and acting Secretary of the Ulster County Transportation Council, certifies that the foregoing is a true and correct copy of a resolution adopted by a vote on July 24, 2014.

\_\_\_\_\_   
Date

By \_\_\_\_\_   
William J. Gorton, P.E., Secretary,   
Ulster County Transportation Council



**DRAFT RESOLUTION 2014-06**  
**ULSTER COUNTY TRANSPORTATION COUNCIL**

**ATTACHMENT 1**

Revise Table 1 of the UCTC 2014-18 TIP to include Footnote 1 as follows:

**Table 1: TIP Amendment and Modification Procedures**

<b>Type of Change</b>	<b>R e s p o n s i b i l i t y</b>		
	<b>UCTC Staff</b>	<b>Technical Committee</b>	<b>Policy Committee</b>
<b>(1) Addition or Removal of Projects and Phases</b>			
(a) Addition or removal of any project	---	Recommend	Approve
(b) Addition or removal of a project's phase less than or equal to \$0.250M	Approve	---	---
(c) Addition or removal of a project's phase over \$0.250M	---	Recommend	Approve
(d) Combining two or more existing projects or phases	Approve	---	---
(e) Other	---	Recommend	Approve
<b>(2) Scope and Cost Change</b>			
(a) Less than or equal to 25% of phase (less than \$500k)	Approve	---	---
(b) Over 25% of phase (\$500k or more)	---	Recommend	Approve
(c) Scope change necessitating a recalculation of system-level air quality conformity of non-exempt project	---	Recommend	Approve
(d) Other significant scope changes	---	Recommend	Approve
(e) Other minor scope changes	Approve	---	---
<b>(3) Fund Source Change</b>			
(a) Change between any federal fund sources	Approve	---	---
(b) Change from federal to non-federal fund sources	---	Recommend	Approve
(c) Change from non-federal to federal fund sources	---	Recommend	Approve
(d) Any other fund source change	Approve	---	---
<b>(4) Schedule Change</b>			
(a) All affected project phases are contained within the first four years of the TIP before and after the schedule change <sup>1</sup>	Approve	---	---
(b) Any other schedule change	---	Recommend	Approve

<sup>1</sup> This includes funds programmed in a previously approved TIP that were incorporated into a new Annual Element because they were not obligated by September 30 of that previous fiscal year (i.e., a "Rollover Clause" as described in NYSDOT TIP/STIP Guidance).

