

COUNTY OF ULSTER

ANNUAL STATEMENT OF

FINANCIAL DISCLOSURE FORM

Tracey A. Bartels

NAME (Please Print)

Chair, Ulster County Legislature

POSITION and DEPARTMENT

Jan. 1, 2021 – Dec. 31, 2021

CALENDAR YEAR

RECEIVED

MAY 02 2022

ULSTER COUNTY LEGISLATURE

3. **Other Income.** Identify the source and nature of any other income in excess of \$1,000.00 per year from any source not described above, including employment income, teaching income, lecture fees, consultant fees, contractual income, or other income of any nature, for you, your spouse, domestic partner, or dependent children. **This includes income derived from the County of Ulster** if you, your spouse, domestic partner or dependent children are employees of the County during the reportable year. This, however, does not include any maintenance connected with a matrimonial action, alimony or child support payments.

None (Check if appropriate)

| <u>Name of Family Member</u> | <u>Name & Address of Income Source</u> | <u>Nature of Investment</u> |
|------------------------------|--|-----------------------------|
| Self | Ulster County, Kingston | Employment |
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |

4. **Relatives in Ulster County service.** List each relative who is an officer or employee of Ulster County, whether paid or unpaid, including relative's name, relationship to you, title, and department. Relatives include your spouse, domestic partner, child, stepchild, grandchild, brother, sister, parent, stepparent, plus any person you claimed as a dependent on your latest income tax return, and that dependent's spouse or domestic partner.

None (Check if appropriate)

| <u>Name of Relative</u> | <u>Relationship to You</u> | <u>Title</u> | <u>Department</u> |
|-------------------------|----------------------------|--------------|-------------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

5. **Money you owe.** List any debts of \$10,000 or more that you owe to an officer or employee of Ulster County or anyone that you are aware of who does business with Ulster County. Do not list debts that you owe to banking companies that have an official banking relationship with Ulster County.

None (Check if appropriate)

| <u>Creditor</u> | <u>Type of Obligation</u> |
|-----------------|---------------------------|
| _____ | _____ |
| _____ | _____ |

Money owed to you. List any debts of \$10,000 or more that officers or employees of Ulster County or anyone that you are aware of who does business with Ulster County owes you.

None (Check if appropriate)

Debtor

Type of Obligation

6. Interest in Contracts. Describe any interest you, your spouse, domestic partner or dependent children have in any contract involving the County of Ulster.

None (Check if appropriate)

Name of Family Member

Contract Description

7. Investments. Itemize and describe all investments in excess of \$5,000.00 or five percent (5%) of the value in any business, corporation, partnership, or other assets including stocks, bonds, loans, pledged collateral, and other investments, for you, your spouse, domestic partner or dependent children excluding investments in publically traded securities and interest in real property.

None (Check if appropriate)

Name of Family Member

Name & Address of Business

Description of Asset

See Attached

Name of Family Member

Location of Real Estate
(including home, if owned)

Description of
Investment

8. Trusts. Identify each interest in a trust or estate or similar beneficial interest in any assets in excess of \$2,000.00, except for IRS eligible retirement plans or interests in an estate or trust of a relative, for you, your spouse, domestic partner or dependent children.

None (Check if appropriate)

Name of Family Member

Trustee/Executor

Description of
Trust/Estate

9. **Gifts and Honorariums.** List the source of all gifts aggregating in excess of \$75.00 received during the last year by you, your spouse, domestic partner or dependent children excluding gifts from a relative. The term "gifts" includes gifts of cash, property, personal items, payments to third-parties on your behalf, forgiveness of debt, honorariums and any other payments whether or not they are reportable as income. This does not include campaign contributions made to a political committee.

None (Check if appropriate)

Name of Family Member

Name & Address of Donor

10. **Loans.** Describe all loans to you (and/or your spouse, and/or domestic partner, and/or dependent children) in excess of \$5,000.00. This does not include any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances. If any such reportable loan has been guaranteed by any third party, list the liability and name of the guarantor.

None (Check if appropriate)

Name of Family Member

Name & Address of Creditor

Type of loan &
Collateral, if any

11. **Political Parties.** List any position you held as an officer of a political party, political committee or political organization within the last five (5) years. The term "political organization" includes any independent body or any organization that is affiliated with or a subsidiary of a political party.

None (Check if appropriate)

Financial Disclosure Attachment

1. Real Estate Ownership

Domestic Partner Real Estate


| | |
|--|-----|
| [REDACTED] | own |
| 56 Sand Hill Road, Gardiner, NY | own |
| 55 Sand Hill Road, Gardiner, NY | own |
| 41 Sand Hill Road, Gardiner, NY | own |
| 857 Plains Road, Shawangunk, NY | own |
| 2324 Route 44/55, Gardiner, NY | own |
| 2316 Route 44/55, Gardiner, NY | own |
| Route 44/55 (tax ID 94.3-4-45.300) Gardiner, NY | own |
| Route 44/55 (tax ID 94.3-4-46) Gardiner, NY | own |
| 2256 Route 44/55, Gardiner, NY | own |
| 143/145 Main Street, Gardiner, NY | own |
| 2/6 Station Square, Gardiner, NY | own |
| 7 Station Square, Gardiner, NY | own |
| Proposed Town Road (Tax ID 106.2-6-10), Shawangunk, NY | own |
| 837 Plains Road, Shawangunk, NY | own |
| 600 Route 208, Gardiner, NY | own |
| 3 Third Street, Gardiner, NY 12525 | own |
| 110 Country Club Road, Montgomery, NY 12586 | own |
| 102 Country Club Road, Montgomery, NY 12586 | own |
| 103 Country Club Road, Montgomery, NY 12586 | own |
| 104 Country Club Road, Montgomery, NY 12586 | own |
| 269 East Drive, Montgomery, NY 12586 | own |
| 279 East Drive, Montgomery, NY 12586 | own |
| 265 East Drive, Montgomery, NY 12586 | own |
| 282 East Drive, Montgomery, NY 12586 | own |
| 280 East Drive, Montgomery, NY 12586 | own |
| 270 East Drive, Montgomery, NY 12586 | own |
| 178 Lake Osiris Drive, Montgomery, NY 12586 | own |
| 100-124 North Drive, Montgomery, NY 12586 | own |
| 101 North Drive, Montgomery, NY 12586 | own |

2. Outside Employment/Business

| | | |
|------------------|------------------------|----------------|
| Domestic Partner | Freefall Express | President |
| Domestic Partner | Blue Sky Entertainment | President |
| Domestic Partner | Skydive the Ranch | Vice-President |

| | | |
|------------------|----------------------------------|------------|
| Domestic Partner | Flyers Inc., DBA CAVU | President |
| Domestic Partner | Orange County Chippers | LLC |
| Domestic Partner | Paramount Pictures | Employment |
| Domestic Partner | Universal City Studios LLC | Employment |
| Domestic Partner | Twentieth Century Fox | Employment |
| Domestic Partner | WB Studio Enterprises Inc. | Employment |
| Domestic Partner | GEP Talent Services LLC | Employment |
| Domestic Partner | Focus Features, LLC | Employment |
| Domestic Partner | Cast & Crew Talent Services | Employment |
| Domestic Partner | Force Residuals CA, Inc | Employment |
| Domestic Partner | Alameda Walt Disney Picture | Employment |
| Domestic Partner | ABC Studios New York LLC | Employment |
| Domestic Partner | MGM and UA Services Co. | Employment |
| Domestic Partner | Kiki Tree Pictures Inc | Employment |
| Domestic Partner | EDF Renewable Development Inc. | Employment |
| Domestic Partner | Otherhood 5, Inc. | Employment |
| Domestic Partner | New Games Productions, Inc. | Employment |
| Domestic Partner | Turner Broadcasting System, Inc | Employment |
| Domestic Partner | Tiberna Productions Inc | Employment |
| Domestic Partner | Uncut Gems | Employment |
| Domestic Partner | Eye Productions Inc. | Employment |
| Domestic Partner | Touchstone Television Production | Employment |
| Domestic Partner | Neoscape Incorporated | Employment |

7. Investments Domestic Partner

| | |
|---|-------------|
|  | real estate |
| 56 Sand Hill Road, Gardiner, NY | real estate |
| 55 Sand Hill Road, Gardiner, NY | real estate |
| 41 Sand Hill Road, Gardiner, NY | real estate |
| 857 Plains Road, Shawangunk, NY | real estate |
| 2324 Route 44/55, Gardiner, NY | real estate |
| 2316 Route 44/55, Gardiner, NY | real estate |
| Route 44/55 (tax ID 94.3-4-45.300) Gardiner, NY | real estate |
| Route 44/55 (tax ID 94.3-4-46) Gardiner, NY | real estate |
| 2256 Route 44/55, Gardiner, NY | real estate |
| 143/145 Main Street, Gardiner, NY | real estate |
| 2/6 Station Square, Gardiner, NY | real estate |
| 7 Station Square, Gardiner, NY | real estate |
| Proposed Town Road (Tax ID 106.2-6-10), Shawangunk, NY | real estate |
| 837 Plains Road, Shawangunk, NY | real estate |
| 600 Route 208, Gardiner, NY | real estate |
| 3 Third Street, Gardiner, NY 12525 | real estate |
| 110 Country Club Road, Montgomery, NY 12586 | real estate |

| | |
|---|-------------|
| 102 Country Club Road, Montgomery, NY 12586 | real estate |
| 103 Country Club Road, Montgomery, NY 12586 | real estate |
| 104 Country Club Road, Montgomery, NY 12586 | real estate |
| 269 East Drive, Montgomery, NY 12586 | real estate |
| 279 East Drive, Montgomery, NY 12586 | real estate |
| 265 East Drive, Montgomery, NY 12586 | real estate |
| 282 East Drive, Montgomery, NY 12586 | real estate |
| 280 East Drive, Montgomery, NY 12586 | real estate |
| 270 East Drive, Montgomery, NY 12586 | real estate |
| 178 Lake Osiris Drive, Montgomery, NY 12586 | real estate |
| 100-124 North Drive, Montgomery, NY 12586 | real estate |
| 101 North Drive, Montgomery, NY 12586 | real estate |
| Freefall Express | shareholder |
| Blue Sky Entertainment | shareholder |
| Skydive the Ranch | shareholder |
| Flyers Inc., DBA CAVU | shareholder |
| Orange County Chippers, LLC | Sole Member |

Certification:

I hereby certify under penalty of perjury, that the information disclosed on this form is true and complete to the best of my knowledge.

I hereby acknowledge my continuing responsibility to disclose any conflicts of interest or potential conflicts of interest and/or rescue myself from any act or action as required by Ulster County's Ethics Law. I certify that I will undertake and carry out this responsibility to the best of

[Redacted]

May 2, 2022
Date

State of New York }
County of Ulster } ss.:

On the 2nd day of May in the year 2022 before me the undersigned, a Notary Public in and for said State, personally appeared Tracy Bertels personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity and that by his/her signature on the instrument, the individual, or person upon behalf of which the individual acted, executed the instrument.

[Redacted]

[Redacted]

() (instrument)

The deadline for filing is May 1st. Please return the completed form to the Ulster County Legislature at 244 Fair Street, 6th floor, Kingston, NY 12401.

* Outside employment includes the practice of law, a NYS Department of State licensed real estate broker or agent, or practice of a profession licensed by the Department of Education. If an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, provide a general description of principal subject areas of matters undertaken by the firm or corporation. Do not list names of individual clients, customers or patients.