

Consumer Name: \_\_\_\_\_

**APPLICATION  
ULSTER COUNTY SINGLE POINT OF ACCESS (SPOA)  
FOR ADULT RESIDENTIAL SERVICES**

**HOW TO APPLY?**

The SPOA for Adult Residential Services is a centralized intake system to manage, and triage housing referrals to all available Office of Mental Health (OMH) vacancies. Attached is an application for your use in submitting referrals. For a referral to be considered, the following documentation must be included:

1. A DSM-5 diagnosis that meets criteria for Serious Mental Illness (SMI)
2. A DSM-5 diagnosis with an extended impairment of functioning due to mental illness
3. Adult SPOA Application for Residential Services
- 4. A psychiatric evaluation completed within the last 12 months**
5. Three (3) consents to release information (see SPOA application)
6. A level of housing form (see SPOA application page 2) with the level requested checked off **\*\*\*A CONSUMER WHO IS CURRENTLY RECEIVING SECTION 8 ASSISTANCE IS NOT ELIGIBLE FOR SUPPORTED HOUSING**
7. Identify source of income
8. PHYSICIAN'S AUTHORIZATION FOR RESTORATIVE SERVICES (**Must be filled out by a licensed MD only.** A Nurse Practitioner is **NOT** acceptable)
9. The following information is optional, but helpful and can be submitted to the Adult SPOA Coordinator after the initial application is received:
  - a psycho-social assessment
  - a psychological evaluation
  - a current comprehensive treatment plan
  - recent medication notes
  - any other specialized tests/evaluations/consultations as deemed appropriate
10. Submit the application and supporting documentation via mail, fax or email (scan) to:

Adult SPOA Coordinator  
Ulster County Department of Mental Health  
239 Golden Hill Lane  
Kingston, New York 12401  
845-340-4110  
Fax: 845-340-4094  
[mshl@co.ulster.ny.us](mailto:mshl@co.ulster.ny.us)

**SPOA PROCESS AND ADMISSION REQUIREMENTS:**

1. Once the application/referral packet is received, it will be presented to the SPOA Adult Residential Services Committee. The Committee is comprised of the various providers of residential services in Ulster County. The Committee determines whether the client/consumer meets the criteria and is deemed appropriate.
2. Prior to admission, a trial visit will be arranged for the client/consumer. In order for a trial visit to occur, the following must be in place:
  - FUNDING (SSI/SSD/DSS/MEDICAID, etc.)
  - OUTPATIENT MENTAL HEALTH TREATMENT SERVICES
3. Upon Admission to a residential service the following documentation is required:
  - MEDICAL/PHYSICAL EXAMINATION WITH RESULTS OF A PPD TEST (Done within the last 12 months).

Consumer Name: \_\_\_\_\_

### LEVEL OF HOUSING

Check appropriate box to where referral is to be made:

#### ***GATEWAY COMMUNITY INDUSTRIES (GCI)***

##### ***LEVEL I Community Residence***

Gateway Manor (New Paltz) ***24 Hour Supervision***

##### ***LEVEL II Supportive Apartments***

Scattered Site Supportive Apartments (Kingston) ***1-3 Visits per Week***

The Newkirk Project (Dual Diagnosis) (MH/OPWDD) ***21-24 Hour Supervision***

Ulster Gardens Apartments (Kingston) ***1-3 Visits per Week***

##### ***LEVEL III Supported Housing***

Gateway Apartments (Kingston, Scattered) ***Regular Visits as Needed***

Gateway Family Apartment (HUD Homeless only) ***Regular Visits as Needed***

Ulster Gardens Apartments (Kingston) ***Regular Visits as Needed***

#### ***REHABILITATION SUPPORT SERVICES, INC. (RSS)***

##### ***LEVEL I Community Residence***

Highridge Gardens (Poughkeepsie) ***24 Hour Supervision***

##### ***LEVEL II Supportive Apartments***

Kingston ***1-3 Visits per Week***

##### ***LEVEL III Supported Housing***

Ulster County ***Regular Visits as Needed***

#### ***MENTAL HEALTH ASSOCIATION (MHA)***

##### ***LEVEL II Supportive Apartments***

Training Apartment Program (TAP) (Lake Katrine, NY) ***24 Hour Supervision***

Locust Street Certified Apartment Program (Kingston, NY) ***Supervised 8am- 10pm***

Scattered Site ***1-3 Visits per Week***

##### ***LEVEL III Supported Housing***

Kingston Area Units ***Regular Visits as Needed***

#### ***PEOPLE, Inc***

##### ***LEVEL III Supported Housing***

Ulster County ***Regular Visits as Needed***

Consumer Name: \_\_\_\_\_

## RESIDENTIAL SERVICES APPLICATION

Level of Care Being Requested: \_\_\_\_\_

REFERRAL SOURCE DATA						
Date of Referral:		Referred By:		Title:		
Agency:			Phone #: _____		Extension: _____	
			E-mail address _____			
Mailing Address:		City		State	Zip Code	
CONSUMER DATA						
Name: Last			First	Middle	Current Address:	
Age:	Date of Birth:	Current Telephone #:		City/State/Zip:		
		Cell:	Home:			
County of Residence:		Length of Residence:		Is Consumer on:		
				Probation: <input type="checkbox"/> Yes <input type="checkbox"/> No      Parole: <input type="checkbox"/> Yes <input type="checkbox"/> No		
Gender:	Marital Status:			Name of P.O.: _____		
<input type="checkbox"/> Male	<input type="checkbox"/> Single	<input type="checkbox"/> Married	<input type="checkbox"/> Divorced			
<input type="checkbox"/> Female	<input type="checkbox"/> Widowed					
List last three previous addresses chronologically:						
1. _____						
2. _____						
3. _____						
Number of Children: _____		Religion (if declared):		Veteran:		
Ages: _____				<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown		
Education (Highest completed):		Read: <input type="checkbox"/> Yes <input type="checkbox"/> No    Write: <input type="checkbox"/> Yes <input type="checkbox"/> No		Employment Status:		
<input type="checkbox"/> GR <input type="checkbox"/> HS <input type="checkbox"/> College		Primary Language:				
<input type="checkbox"/> Graduate						
Homeless:						
<input type="checkbox"/> Yes <input type="checkbox"/> No						
If yes, where is the consumer staying now:						
Wrap Plan? <input type="checkbox"/> Yes <input type="checkbox"/> No		Advanced Directives? <input type="checkbox"/> Yes <input type="checkbox"/> No				

Consumer Name: \_\_\_\_\_

**ASSISTED OUTPATIENT TREATMENT (AOT)**

**Check any that apply:**

AOT Enhanced     AOT Petition     High Risk

CONSUMER DSM-5 DIAGNOSIS (as stated on Psychiatric Evaluation)		ICD-10 Codes		
1.		<b>F</b>		.
2.		<b>F</b>		.
3.		<b>F</b>		.
4.		<b>F</b>		.
5.		<b>F</b>		.
6.		<b>F</b>		.

**DEVELOPMENTAL DISABILITIES DIAGNOSIS ONLY (OPWDD):**

**Diagnosis:**       Intellectual Developmental Disorder     Autism     Cerebral Palsy     Asperger Syndrome  
 Fetal Alcohol Syndrome     Down Syndrome

Full Scale IQ:

Disability Manifested Prior to Age 18?

Yes     No

Does this consumer have OPWDD eligibility and /or WAIVER status?     Yes     No

**SERVICE PROVIDER INFORMATION:**

Provider	Name	Agency	Phone #
Primary Therapist:			
Prescribing Physician/Psychiatrist:			
Probation/Parole Department <i>If applicable:</i>			
Care Management:			
Current Treatment Program:			

Consumer Name: \_\_\_\_\_

**FINANCIAL INFORMATION**

<b>SSN:</b>	<b>Medicaid #:</b> <input type="checkbox"/> Active <input type="checkbox"/> Not Active	<b>Medicare #:</b>	<b>Temporary Assistance/Welfare Amount:</b>	
<b>Employment Earnings (Monthly)</b>	<b>SSI:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <b>SSI Amount:</b> \$ _____	<b>SSDI:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <b>SSDI Amount:</b> \$ _____ <b>Spend down:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	<b>Does Consumer Have Bank Account?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Other Benefits or Income?</b>		<b>Other Insurance: (Health, Life, Auto): List below:</b>		
<b>Current Payee</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Pending <input type="checkbox"/> Payee Recommended	<b>Current Payee's Name:</b>	<b>Relationship:</b>	<b>Phone #:</b>	
<b>Payee's Address:</b>	<b>City:</b>	<b>State:</b>	<b>Zip:</b>	

**FAMILY AND SIGNIFICANT RELATIONSHIP INFORMATION**

<b>Next of Kin/Legal Guardian/Significant Other:</b>	<b>Address:</b>
<b>Relationship:</b>	<b>Phone:</b>
<b>Is family involved with consumer:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Describe quality of relationships (include emotional and health factors of family when applicable)</b> _____	

**REASON FOR REFERRAL TO THIS LEVEL OF CARE**

**Briefly explain (excluding symptoms) why the consumer is in need of this level of care. Include how much supervision consumer needs:**  
\_\_\_\_\_

**MEDICAL INFORMATION**

**Physical Problems/Disabilities/Restriction:**    Yes    No  
*If yes, explain*  
\_\_\_\_\_

**Allergies:**    Yes    No  
*If yes, list and/or explain*  
\_\_\_\_\_

**Does Consumer Have a History of Seizure Disorder?**    Yes    No  
*If yes, explain*  
\_\_\_\_\_

Consumer Name: \_\_\_\_\_

**ALCOHOL AND SUBSTANCE USE/ABUSE (Last Five Years)**

**Does Consumer Have a History of Alcohol/Substance Abuse?**       Yes       No  
*If yes, list substance(s), date of last use, treatment history.*

Substance	Date of Last Use	Treatment History

**PREVIOUS PSYCHIATRIC HOSPITALIZATIONS (Last Five Years)**

Hospital	Reason for Admission	Admit Date	Discharge Date

**MEDICATIONS**

**Is the Consumer able to self-administer medications?**       Yes       No

**Does Consumer Have History of Medication Non-compliance?**       Yes       No      **If Yes, Explain:**

**RISK FACTORS**

	<input type="checkbox"/> Yes <input type="checkbox"/> No	<i>Date or Age:</i>	<i>Explain:</i>
Arson:	<input type="checkbox"/> Yes <input type="checkbox"/> No		
Suicide Attempts:	<input type="checkbox"/> Yes <input type="checkbox"/> No		
Suicide Gestures:	<input type="checkbox"/> Yes <input type="checkbox"/> No		
Criminal Offenses:	<input type="checkbox"/> Yes <input type="checkbox"/> No		
Assaultive Behavior:	<input type="checkbox"/> Yes <input type="checkbox"/> No		
Drug/Alcohol Abuse:	<input type="checkbox"/> Yes <input type="checkbox"/> No		
Danger to Others:	<input type="checkbox"/> Yes <input type="checkbox"/> No		
Danger to Property:	<input type="checkbox"/> Yes <input type="checkbox"/> No		

Consumer Name: \_\_\_\_\_

**AUTHORIZATION FOR  
RESTORATIVE SERVICES OF  
COMMUNITY RESIDENCES**

- Initial Authorization
- Semi-Annual Authorization
- Annual Authorization

<b>CONSUMER'S NAME:</b>	
<b>CONSUMER'S MEDICAID NUMBER:</b>	
<b>ICD-10 DIAGNOSIS CODE:</b>	
<b>DATE LAST SEEN:</b>	

I, the undersigned **licensed physician**, based on my review of the assessments made available to me, have determined that \_\_\_\_\_ would benefit for the provision of mental health restorative services defined pursuant to Part 595 of the 14 NYCRR.

(Consumer's Name)

This determination is in effect for the period \_\_\_\_\_ to \_\_\_\_\_,

(Start Date)

(End Date)

At which time there will be an evaluation for continued stay.

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Mo. Day Year

\_\_\_\_\_  
Name (Please Print)

\_\_\_\_\_  
License #

\_\_\_\_\_  
Signature

Check here if consumer is enrolled in Managed Care (e.g., an HMO or Managed Care Coordinator Program) and enter Primary Care Physician and Managed Care Provider Identification Number.

\_\_\_\_\_  
Physician

\_\_\_\_\_  
Managed Care Provider ID#

# SPOA RESIDENTIAL CONSENT TO RELEASE/OBTAIN INFORMATION

Individual's Name:	DOB:
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This authorization must be completed by the **Individual, their personal representative or legal guardian** to use/disclose protected health information, in accordance with State and Federal Laws and Regulations. Information may be released pursuant to this authorization to the parties identified herein who have a demonstrable need for the information, provided that the disclosure will not reasonably be expected to be detrimental to the Individual or another person. A separate authorization is required to use or disclose confidential HIV related information.

**Purpose or Need for Information:**

1. This information is being requested:
  - By the Individual or their personal representative/guardian for release to a person or entity with a demonstrable need for the information; OR
  - Other (please describe) **RESIDENTIAL SPOA COORDINATOR**
2. The purpose to release/obtain is (please describe): **to exchange information about the Individual being referred to SPOA, with the Organizations/Facility/Programs listed below, in order to link the Individual with a residential service or setting.**

**Information Being Released/Obtained:** *All SPOA applications, including mental health treatment history, psychiatric diagnosis, psychiatric evaluations/updates, psycho-social reports, psychological testing, clinical discharge summaries and other supporting documentation may be exchanged between the appropriate SPOA Committee members to link the individual with the services or programs best suited to meet the individual's needs. SPOA Committee members include, but are not limited to, the following entities:*

- |  |   |
|--|---|
| <ul style="list-style-type: none"> <li>• Access Supports for Living</li> <li>• All Courts under the 3<sup>rd</sup> Judicial District in the State of NY Courts</li> <li>• The ARC Mid-Hudson</li> <li>• Assisted Outpatient Treatment</li> <li>• The Bridgeback</li> <li>• Bob Hasbrouck (Boarding Home)</li> <li>• Catholic Charities Community Services of Orange and Sullivan</li> <li>• Chiz's Heart Street (Boarding Home)</li> <li>• Coordinated Entry Committee</li> <li>• Elizabeth Manor</li> <li>• Family of Woodstock, Inc.</li> <li>• Family Services Inc.</li> <li>• Gateway Community Industries, Inc.</li> <li>• HUD (Housing and Urban Development)</li> <li>• Hudson Valley Community Services</li> <li>• Hummel's (Boarding Home)</li> <li>• Institute for Family Health (IFH)</li> <li>• Joseph Sangi (Boarding Home)</li> <li>• Legal Services of the Hudson Valley</li> <li>• Mental Health Association in Ulster County, Inc.</li> </ul> | <ul style="list-style-type: none"> <li>• NYS Office of People with Developmental Disabilities</li> <li>• New York State Parole</li> <li>• People USA</li> <li>• Rehabilitation Support Services, Inc.</li> <li>• Rockland Psychiatric Center (Inpatient)</li> <li>• Rockland Psychiatric Center (Pine Grove Clinic)</li> <li>• Rural Ulster Preservation Company (RUPCO)</li> <li>• Step One - Child and Family Guidance Center Addictions Services, Inc.</li> <li>• Ulster County Departments of Health and Mental Health</li> <li>• Ulster County Department of Social Services</li> <li>• Ulster County District Attorney's Office</li> <li>• Ulster County Jail</li> <li>• Ulster County Probation Department</li> <li>• Ulster County Public Defender's Office</li> <li>• Ulster County Veterans Department</li> <li>• Veterans Administration</li> <li>• WMC Health Alliance Hospital - All Units</li> <li>• Other _____</li> <li>• Other _____</li> <li>• Emergency Contact - Name and telephone number _____</li> </ul> |
|--|---|

I hereby permit the use or disclosure of the above information to the Person/Organization/Facility/Program(s) identified above. I understand that:

1. Only the information described in this form may be used and/or disclosed as a result of this authorization.
2. This information is confidential and is protected under federal privacy regulations (HIPAA) and the NYS Mental Hygiene Law and cannot legally be disclosed without my permission.
3. If this information is disclosed to someone who is not required to comply with HIPAA, then it could be redisclosed and would no longer be protected by HIPAA. However, this information will still be protected under the NYS Mental Hygiene Law, which prohibits this information from being redisclosed by anyone who receives it unless the redisclosure is permitted by the NYS law (Mental Hygiene Law §33.13).
4. I have the right to revoke (take back) this authorization at any time. My revocation must be in writing on the form provided to me by RESIDENTIAL SPOA.  
I am aware that my revocation will not be effective if the persons I have authorized to use and/or disclose my protected health information have already taken action because of my earlier authorization.
5. I do not have to sign this authorization and that my refusal to sign will not affect my abilities to obtain treatment from the New York State Office of Mental Health, nor will it affect my eligibility for benefits.
6. I have a right to inspect and copy my own protected health information to be used and/or disclosed (in accordance with the requirements of the Federal Privacy Protection Regulations found under 45 CFR §164.524 and NYS Mental Hygiene Law §33.16).



## SPOA RESIDENTIAL CONSENT TO RELEASE/OBTAIN INFORMATION

**PERIODIC USE/DISCLOSURE:** I hereby authorize the periodic use/disclosure of the information described above to the person/organization/facility/program identified above as often as necessary to fulfill the purpose identified above. **My authorization will expire when I am no longer pursuing or receiving residential SPOA services.**

Individual's Signature: I certify that I authorize the use of my health information as set forth in this document.

\_\_\_\_\_  
Signature of Individual OR Personal Representative OR  
Parent/Guardian

\_\_\_\_\_  
Date

\_\_\_\_\_  
Individual's Name (Printed)

\_\_\_\_\_  
Personal Representative OR Parent/Guardian's Name (Printed)

\_\_\_\_\_  
Relationship

Description of Personal Representative's Authority to Act for the Individual (required if Personal Representative signs Authorization)

**REVOCATION OF AUTHORIZATION TO RELEASE/OBTAIN INFORMATION:** I hereby revoke my authorization to release/obtain information to the person/organization/facility/program listed above

Signature:

Date:

# SPOA PACKET

## PSYCKES Consent Form

This PSYCKES consent form allows your provider/referent to obtain Medicaid information through PSYCKES, an electronic database. This database contains all the different types of health services you have received through Medicaid. Once you consent, those providers/referents will have access to indicators which will enable them to help you in treatment planning and help coordinate all the different types of health services you have received through Medicaid. Your choice to consent or deny will not affect your ability to get medical care or health insurance coverage. Understand that your provider may be able to obtain your information even without your consent for certain limited purposes if specifically authorized by the state and federal laws and regulations.

**Your Consent Choices.** You can fill out this form now or in the future. You have two choices:

**I give consent** for the SPOA participants to access all of my electronic health information through PSYCKES in connection with providing me any health care services. **YOU ARE ABLE TO WITHDRAW THIS CONSENT AT ANY TIME DURING THE SPOA PROCESS. SEE ATTACHED WITHDRAWAL FORM.**

**I deny consent** for the SPOA participants to access my electronic health information through PSYCKES.

**The following are SPOA participants:** Ulster County Department of Mental Health; Department of Social Services-Adult; Mental Health Association and ACT; Gateway Community Industries; Rockland Psychiatric Center (Pine Grove Center); Hudson Valley Health Alliance-Inpatient; Hudson Valley Health Alliance Partial Programs; Family of Woodstock; Willcare Home Care; UC Probation; PEOPLE, Inc.; Institute of Family Health; Rehabilitation Support Services, Inc.; Hudson Valley Mental Health

Print Name of Patient:	Date of Birth of Patient:	Patient Medicaid ID #:
Signature of Patient or Patient's Legal Representative:	Date:	
Print name of Legal Representative (if applicable):	Relationship of Legal Representative to Patient (if applicable):	
Print name of Witness:	Signature of Witness:	

## Information About the PSYCKES Consent for Your Records

### Details about patient information in PSYCKES and the consent process:

1. **How Your Information Will be Used.** Your electronic health information will be used by only to:

- Provide you with medical treatment and related services
- Evaluate and improve the quality of medical care provided to all patients

**Note: The choice you make in this Consent form does *not* allow health insurers to have access to your information for the purpose of deciding whether to give you health insurance or pay your bills. You can make that choice in a separate Consent Form that health insurers must use.**

2. **What Types of Information About You are Included?** If you give consent , Ulster Co. SPOA Agencies may access all of your electronic health information available through PSYCKES. This includes information created before and after the date of this Consent Form. Your health records may include a history of illnesses or injuries you have had (like diabetes or a broken bone), test results (like X-rays or blood tests), and lists of medicines you have taken. This information may relate to sensitive health conditions, including but not limited to :
  - Mental health conditions
  - Alcohol or drug use problems
  - Birth control and abortion (family planning)
  - Genetic (inherited) diseases or test
  - HIV/AIDS
  - Sexually transmitted diseases
3. **Where Health Information About You Comes From.** Information about you in PSYCKES comes from the New York State Medicaid Program.
4. **Who May Access Information about You, if you Give Consent.** Only these people may access information about you; doctors and other health care providers who serve on the Ulster Co. SPOA Agency's medical staff who are involved in your medical care; health care providers who are covering or on call for the SPOA Agency's doctors; and staff members who carry out activities permitted by this Consent Form as described in paragraph one.
5. **Penalties for Improper Access to or Use of your Information.** There are penalties for inappropriate access to or use of your electronic health information. If at any time you suspect that someone who should not have seen or gotten access to information about you has done so, call the Ulster co LGC at 340-4110; or call the NYS Office of Mental Health Customer Relations at 800-597-8481.

6. **Re-disclosure of Information.** Any electronic health information about you may be re-disclosed by others only to the extent permitted by state and federal laws and regulations. This is also true for health information about you that exists in a paper form. Some state and federal laws provide special protections for some kinds of sensitive health inform, including HIV/AIDS and drug and alcohol treatment. Their special requirements must be followed whenever people receive these kinds of sensitive health information.
7. **EFFECTIVE PERIOD.** This consent Form will remain in effect until three (3) years after the last date you received any medical services, or until the day you withdraw your consent, whichever comes first.
8. **Withdrawing Your Consent:** You can withdraw your consent at any time by signing a Withdrawal of Consent Form and giving it to the Ulster Co. SPOA Coordinator at USDMH, 239 Golden Hill Lane, Kingston, NY 112401 or phone her at 845-349-4193. You can also change your consent choices by signing a new Consent Form at any time. You can get these forms from this provider or from the PSYCKES website at [www.psyckes.com](http://www.psyckes.com) or by calling Ulster Co. Department of Mental Health at 340-4110. Note: Organizations that access your health information through SPOA Agencies that serve you while your consent is in effect may copy or include your information in their own medical records. Even if you later decide to withdraw you consent, they are not required to return it or remove it from their records.  
**Copy of Form:** You are entitled to receive a copy of this Consent Form after you sign it.

## PSYCKES Withdrawal of Consent Form

You previously signed a PSYCKES Consent form allowing your provider to obtain access to your Medicaid medical records electronically through PSYCKES and now want to withdraw that consent. This form may be filled out now or at a later date.

By withdrawing Consent, you understand that:

1. Health care providers and health insurers that you are enrolled with will no longer be able to access Medical Information about you through PSYCKES, except in an emergency or if another exception to the State and federal confidentiality laws and regulations applies. For example, if the Medicaid program has a quality concern about your healthcare, then under federal and state regulations your provider may be given access to your data to address the quality concern.
2. Your provider is not completely barred from accessing your medical information in any way. It may still be able to obtain necessary information directly from another provider for treatment purposes under state and federal laws and regulations.
3. The Withdrawal of Consent will not affect the exchange of your Medical Information made while your Consent was in effect.
4. No PSYCKES participating provider will deny you medical care and your insurance eligibility will not be affected based on your Withdrawal of Consent.
5. If you wish to reinstate Consent, you may do so by signing and completing a new PSYCKES Consent form and returning it to a participating provider.
6. Withdrawing your consent does not prevent your health care providers from submitting claims to your health insurer for reimbursement for services rendered to you.
7. You understand that you will get a copy of this form after you sign it.

Print Name of Patient:	Date of Birth of Patient:
Signature of Patient or Patient's Legal Representative:	Date:
Print name of Legal Representative (if applicable):	Relationship of Legal Representative to Patient (if applicable):
Signature of Witness:	Print name of Witness: